



## SAFE MEDICATION ASSISTANCE AND ADMINISTRATION POLICY

### I. Policy

- A. It is the policy of Lakes Homes and Program Development, Inc. to provide safe medication setup, assistance and administration:
- when assigned responsibility to do so in the person's coordinated service and support plan (CSSP) or the CSSP addendum;
  - using procedures established in consultation with a registered nurse, nurse practitioner, physician's assistant or medical doctor; and
  - By staff who have successfully completed medication administration training before actually providing medication setup, assistance and administration.
- B. For the purposes of this policy, medication assistance and administration includes, but is not limited to:
1. Providing medication-related services for a person;
  2. Medication setup;
  3. Medication administration;
  4. Medication storage and security;
  5. Medication documentation and charting;
  6. Verification of monitoring of effectiveness of systems to ensure safe medication handling and administration;
  7. Coordination of medication refills;
  8. Handling changes to prescriptions and implementation of those changes;
  9. Communicating with the pharmacy; or
  10. Coordination and communication with the prescriber.

### II. **Definitions.** For the purposes of this policy the following terms have the meaning given in section [245D.02](#) of the 245D Home and Community-based Services Standards:

- A. "Medication" means a prescription drug or over-the-counter drug and includes dietary supplements.
- B. "Medication administration" means following the procedures in section IIIC of this policy to ensure that a person takes their medications and treatments as prescribed.
- C. "Medication assistance" means to enable the person to self-administer medication or treatment when the person is capable of directing the person's own care, or when the person's legal representative is present and able to direct care for the person.
- D. "Medication setup" means arranging medications, according to the instructions provided by the pharmacy, prescriber or licensed nurse, for later administration.
- E. Over-the-counter drug" means a drug that is not required by federal law to bear the statement "Caution: Federal law prohibits dispensing without prescription."

- F. "Prescriber" means a person who is authorized under section [148.235](#); [151.01](#), subdivision 23; or [151.37](#) to prescribe drugs.
- G. "Prescriber's order and written instructions" means the current prescription order or written instructions from the prescriber. Either the prescription label or the prescriber's written or electronically recorded order for the prescription is sufficient to constitute written instructions from the prescriber.
- H. "Prescription drug" has the meaning given in section [151.01](#), subdivision 16.
- I. "Psychotropic medication" means any medication prescribed to treat the symptoms of mental illness that affect thought processes, mood, sleep, or behavior. The major classes of psychotropic medication are antipsychotic (neuroleptic), antidepressant, antianxiety, mood stabilizers, anticonvulsants, and stimulants and nonstimulants for the treatment of attention deficit/hyperactivity disorder. Other miscellaneous medications are considered to be a psychotropic medication when they are specifically prescribed to treat a mental illness or to control or alter behavior.

### III. Procedures

#### A. Medication setup

When Lakes Homes and Program Development, Inc. is responsible for medication setup staff must document the following in the person's medication administration record. The Person setting up medications must be the one administering medications unless specific notation designates another med giver for that time only :

1. Dates of set-up;
2. Name of medication;
3. Quantity of dose;
4. Times to be administered; and
5. Route of administration at time of set-up.
6. When the person receiving services will be away from home, the staff must document to whom the medications were given.
7. Stay with the person taking the medication until all medication is taken.
8. Person mixing medications in food or liquid must remain with the individual until the mixture is all taken.

#### B. Medication assistance

When Lakes Homes and Program Development, Inc. is responsible for medication assistance staff may:

1. Bring to the person and open a container of previously set up medications;
2. Empty the container into the person's hand;
3. Open and give the medications in the original container to the person;
4. Bring to the person liquids or food to accompany the medication; and
5. Provide reminders to take regularly scheduled medication or perform regularly scheduled treatments and exercises.

C. Medication administration

1. When Lakes Homes and Program Development, Inc. is responsible for medication administration, including psychotropic and injectable medications, a medication administration record (MAR) must be maintained for the person that includes the following:
  - a. Information on the current prescription label or the prescriber's current written or electronically recorded order or prescription that includes the person's name, description of the medication or treatment to be provided, and the frequency and other information needed to safely and correctly administer the medication or treatment to ensure effectiveness;
  - b. Information on any risks or other side effects that are reasonable to expect, and any contraindications to its use. This information must be readily available to all staff administering the medication;
  - c. The possible consequences if the medication or treatment is not taken or administered as directed;
  - d. Instruction on when and to whom to report the following:
    - 1) if a dose of medication is not administered or treatment is not performed as prescribed, whether by error by the staff or the person or by refusal by the person; and
    - 2) the occurrence of possible adverse reactions to the medication or treatment.
2. Staff must complete the following when responsible for medication administration:
  - a. Check the person's medication administration record (MAR);
  - b. Prepare the medications as necessary;
  - c. Administer the medication or treatment the person according to the prescriber's order;
    - 1) Medications and/or treatments administered must be prescriber written or on Lakes Homes and Program Development's Standing orders.
  - d. Document in the MAR:
    - 1) the administration of the medication or treatment or the reason for not administering the medication or treatment;
    - 2) notation of any occurrence of a dose of medication not being administered or treatment not performed as prescribed, whether by error by the staff or the person or by refusal by the person, or of adverse reactions, and when and to whom the report was made; and
    - 3) notation of when a medication or treatment is started, administered, changed, or discontinued;
  - e. Report any concerns about the medication or treatment, including side effects, effectiveness, or a pattern of the person refusing to take the medication or treatment as prescribed, to the prescriber or a nurse; and
  - f. Adverse reactions must be immediately reported to a health care provider or a nurse.

D. Injectable medications

Lakes Homes and Program Development, Inc. may administer injectable medications according to a prescriber's order and written instructions when one of the following conditions has been met:

1. Lakes Homes and Program Development, Inc.'s registered nurse or licensed practical nurse will administer the intramuscular or subcutaneous injections;
2. Lakes Homes and Program Development, Inc.'s supervising registered nurse with the physician's orders delegates the administration of subcutaneous injections to staff who are trained and has provided the necessary training;\* or
3. There is a an agreement signed by Lakes Homes and Program Development, Inc., the prescriber and the person or the person's legal representative identifying which subcutaneous injectable medication may be given, when, and how and that the prescriber must retain responsibility for Lakes Homes and Program Development, Inc. administering the injection. A copy of the agreement must be maintained in the person's record.

Only licensed health professionals are allowed to administer psychotropic medications by injection.

E. Psychotropic medication use and monitoring

1. When Lakes Homes and Program Development, Inc. is responsible for administration of a psychotropic medication, Lakes Homes and Program Development, Inc. must develop, implement, and maintain the following documentation in the person's CSSP addendum according to the requirements in sections 245D.07 and 245D.071:
  - a. A description of the target symptoms the prescribed psychotropic medication is to alleviate. Lakes Homes and Program Development, Inc. must consult with the expanded support team to identify target symptoms. "Target symptom" refers to any perceptible diagnostic criteria for a person's diagnosed mental disorder, as defined by the Diagnostic and Statistical Manual of Mental Disorders Fourth Edition Text Revision (DSM-IV-TR) or successive editions, that has been identified for alleviation; and
  - b. The documentation methods Lakes Homes and Program Development, Inc. will use to monitor and measure changes in target symptoms that are to be alleviated by the psychotropic medications if required by the prescriber.
2. Lakes Homes and Program Development, Inc. must collect and report on medication and symptom-related data as instructed by the prescriber.
3. Lakes Homes and Program Development, Inc. must provide the monitoring data to the expanded support team for review every three months, or as otherwise requested by the person or the person's legal representative.

F. Written authorization

Written authorization is required for medication administration or medication assistance, including psychotropic medications or injectable medications.

1. Lakes Homes and Program Development, Inc. must obtain written authorization from the person or the person's legal representative before providing assistance with or administration of medications or treatments, including psychotropic medications and injectable medications.
2. Lakes Homes and Program Development, Inc. must obtain reauthorization annually.
3. If the person or the person's legal representation refuses to authorize Lakes Homes and Program Development, Inc. to administer medication, the staff must not administer the medication.

4. Lakes Homes and Program Development, Inc. must report the refusal to authorize medication administration to the prescriber as expediently as possible.
- G. Refusal to authorize psychotropic medication
1. If the person receiving services or their legal representative refuses to authorize the administration of a psychotropic medication, Lakes Homes and Program Development, Inc. must not administer the medication and report the refusal to authorize to the prescriber in 24 hours.
  2. After reporting the refusal to authorize to the prescriber in 24 hours, Lakes Homes and Program Development, Inc. must follow and document all directives or orders given by the prescriber.
  3. A court order must be obtained to override a refusal for psychotropic medication administration.
  4. A refusal to authorize administration of a specific psychotropic medication is not grounds for service termination and does not constitute an emergency. A decision to terminate services must comply with Lakes Homes and Program Development, Inc.'s service suspension and termination policy.
- H. Reviewing and reporting medication and treatment issues
1. When assigned responsibility for medication administration, including psychotropic medications and injectable medications, Lakes Homes and Program Development, Inc. must ensure that the information maintained in the medication administration record is current and is regularly reviewed to identify medication administration errors.
  2. At a minimum, the review must be conducted every three months or more frequently as directed in the CSSP or CSSP addendum or as requested by the person or the person's legal representative.
  3. Based on the review, Lakes Homes and Program Development, Inc. must develop and implement a plan to correct patterns of medication administration errors when identified.
  4. When assigned responsibility for medication assistance or medication administration, Lakes Homes and Program Development, Inc. must report the following to the person's legal representative and case manager as they occur or as otherwise directed in the CSSP or CSSP addendum:
    - a. any reports made to the person's physician or prescriber required section III.D.2. of this policy;
    - b. a person's refusal or failure to take or receive medication or treatment as prescribed;  
or
    - c. concerns about a person's self-administration of medication or treatment.
- I. Staff Training
1. Unlicensed staff may administer medications only after successful completion of a medication administration training using a training curriculum developed by a registered nurse, clinical nurse specialist in psychiatric and mental health nursing, certified nurse practitioner, physician's assistant, or physician. The training curriculum must incorporate an observed skill assessment conducted by the trainer to ensure staff demonstrate the ability to safely and correctly follow medication procedures
  2. Staff must review and receive instruction on individual medication administration procedures established for each person when assigned responsibility for medication administration.

3. Staff may administer subcutaneous injectable medications only when the necessary training has been provided by a registered nurse as defined \*
4. Medication administration must be taught by a registered nurse \*, clinical nurse specialist, certified nurse practitioner, physician's assistant, or physician if, at the time of service initiation or any time thereafter, the person has or develops a health care condition that affects the service options available to the person because the condition requires:
  - a. specialized or intensive medical or nursing supervision; and
  - b. nonmedical service providers to adapt their services to accommodate the health and safety needs of the person

J. Storage and disposal of medication

Schedule II controlled substances in the facility that are named in section 152.02, subdivision 3, must be stored in a locked storage area permitting access only by persons and staff authorized to administer the medication. Medications must be disposed of according to the Environmental Protection Agency recommendations.

\* Minnesota Board of Nursing Nurse Practice Act, Minnesota statute section 148.171

Policy reviewed and authorized by:

Print name & title	Signature
--------------------	-----------

Date of last policy review: 7/1/15; 7/1/2016      Date of last policy revision: 7/1/2016

Legal Authority: MS §§§§ 245D.11, subd. 2 (3), 245D.05, subdivisions 1a, 2, and 5 and 245D.51 and 245D.09, subdivision 4a, paragraph (d)

7/1/16